



RECEIVED

FEB 22 2002

1636

TECH CENTER 1600/2900

PATENT

Attorney Docket No. P04580US1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Higginbotham, et al.

SERIAL NO: 09/871,183

FILED: May 31, 2000

TITLE: METHODS AND COMPOSITIONS FOR EFFICIENT GENE TRANSFER
USING TRANSCOMPLEMENTARY VECTORS

Group Art Unit: 1636

Examiner: Washington, Tara

Confirmation No: 9947

#6

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
FILED WITHIN THREE MONTHS OF FILING OR
BEFORE MAILING OF FIRST OFFICE ACTION (37 C.F.R. § 1.97(b))**Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

This information disclosure statement is being filed within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office Action on the merits, whichever event occurs last.

Attached are Forms PTO/SB/08A and 08B (formerly Form PTO-1449) listing the relevant art known to the applicant herein. Copies of the listed references are enclosed. The Examiner is requested to consider the references and make them of record.

Each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 C.F.R. § 1.97(g)), an admission that the

CERTIFICATE OF MAILING

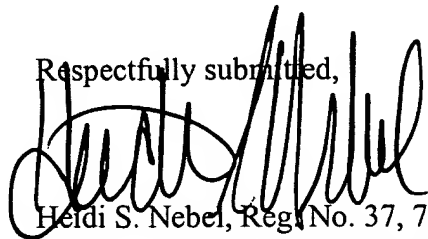
I hereby certify that the above correspondence was mailed to the Assistant Commissioner for Patents, Washington, D.C. 20231, as First Class mail, postage prepaid, this 5 day of February, 2002.

Heidi S. Nebel

information cited is, or is considered to be, material to patentability, or that no other material information exists.

The filing of this information disclosure statement shall not be construed as an admission against interest in any manner.

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'Heidi S. Nebel', is written over the typed name.

Heidi S. Nebel, Reg. No. 37, 719
McKEE, VOORHEES & SEASE, P.L.C.
801 Grand Avenue, Suite 3200
Des Moines, Iowa 50309-2721
Phone No. (515) 288-3667
Fax No. (515) 288-1338
CUSTOMER NO: 22885

-sk-

Attorneys of Record

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

